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Express Mail Label No. EV384066191US

PATENT APPLICATION  
Docket No. 11960.3961



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

016834 US PTO  
10/804927



031904

### TRANSMITTAL FOR PATENT APPLICATION

Sir:

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Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled **ENABLING NETWORK COMMUNICATION FROM ROLE BASED AUTHENTICATION** in the name of the following inventor: Steven T. Baker.

Enclosed are the following:

- A specification, claims, abstract, and cover page in total comprising twenty-two (24) pages.
- Four (4) sheets of drawings.
- A single signature Declaration, Power of Attorney and Petition.
- An Assignment conveying the invention to 3Com Corporation, including a Form PTO 1595 recordation cover sheet.
- A non-publication request requesting that the present application not be published.
- A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV384066191US.

BEST AVAILABLE COPY

The filing fee has been calculated as shown below.

			SMALL ENTITY	LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	Fee	RATE
BASIC FEE				\$	\$770.00
TOT. CLAIMS 20	-20=	0	X 9=		X 18=
IND. CLAIMS 4	-3=	1	X 42		X 86= 86.00
MULTIPLE DEPENDENT CLAIM			+140=		+280=
			<b>TOTAL</b>		<b>TOTAL</b> \$856.00

- X PTO-2038 Credit Card Payment Form in the amount of \$896.00 is enclosed to cover:
- X The \$856.00 government filing fee.
- X The \$40.00 recordation fee of the enclosed assignment.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.
- X Any additional filing fees required under 37 C.F.R. § 1.16.
- X Any patent application processing fees under 37 C.F.R. § 1.17.
- X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.
- X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- X Any patent application processing fees under 37 C.F.R. § 1.17.
- X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 19 day of March 2004.

Respectfully submitted,



J. LAVAR OLDHAM  
Attorney for Applicant  
Registration No. 53,409  
Customer No. 022913

JLO:kjb  
KJB0000003838V001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EV384066191US

Date of Deposit: March 19, 2004

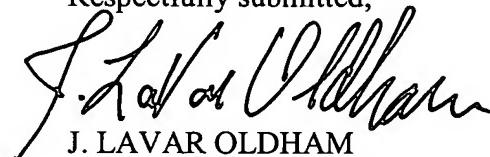
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Patent Application in the name of Steven T. Baker for ENABLING NETWORK COMMUNICATION FROM ROLE BASED AUTHENTICATION (24 pages)
- Drawings (4 sheets)
- Assignment with Form PTO-1595 cover sheet (3 pages)
- Declaration, Power of Attorney and Petition (2 pages)
- A Request for Nonpublication of Application.
- Transmittal Letter (3 pages) (in duplicate)
- PTO-2038 Credit Card Payment Form for \$896.00
- Certificate of Express Mailing
- Postcard

Dated this 19 day of March 2004.

Respectfully submitted,



J. LAVAR OLDHAM  
Attorney for Applicant  
Registration No. 53,409  
Customer No. 022913

JLW:kjb  
KJB0000003838V001

PATENT APPLICATION  
Docket No.: 11960.3961

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
                            )  
                    Steven T. Baker )  
                            )  
Serial No.:         Not Yet Assigned )  
                            )  
Filed:                 March 17, 2004 )  
                            )  
For:                 ENABLING NETWORK COMMUNICATION FROM )  
                            ROLE BASED AUTHENTICATION )

**NONPUBLICATION REQUEST**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

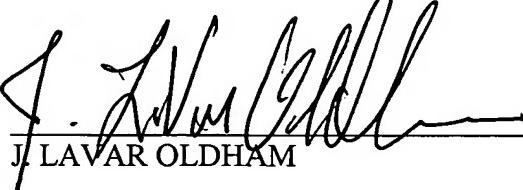
Dear Sir:

The undersigned Applicant hereby makes a "NONPUBLICATION REQUEST" in accordance with 37 C.F.R. § 1.213(a). As such, the present application is not to be published under 35 USC § 122 (b). Applicant certifies that the application has not been and will not be the subject of an application filed in another country, or under a multi-lateral international agreement, that requires publication of applications eighteen months after filing.

The foregoing certification is predicated upon both objective and subjective factors, any of which the Applicant reserves the right to reconsider at any time in the future for purposes of subjecting the present application to one or more filings in other countries. As such, the Applicant reserves the right under 37 C.F.R. § 1.213(b) to rescind the foregoing "NONPUBLICATION REQUEST." Moreover, Applicant further reserves the right under 37

C.F.R. § 1.213(c) to subsequently file, in a foreign country or under a multi-lateral international agreement, an application directed to the inventions disclosed in the application filed in the Patent and Trademark Office, should Applicant's reconsideration of said subjective and objective factors deem that such foreign filings would be in the Applicant's best interest.

Dated this 19 day of March 2004.



J. LAVAR OLDHAM

JLO:kjb  
KJB0000003840V001